	KAI	Financial Planner: Provincial Licence:	ON		PE	RSONAL FINAN	ICIAL REVI
SECURITIES	INC	Date:			•	(New Client A	
Age:		<u>_</u>		Age:		_	
Name:				Spouse/Partner I	Name:		
Address:				Birthdate:		SIN:	
_				Telephone:	Home		
Postal Code:		How Long:			Work		
Birthdate:		SIN:		Employer:			
elephone:	Home			Address:			
	Work	<u> </u>		Occupation:			
-mail:				Type of Business	3:		
mployer:				How Long:			
_				Children	Name	Birthdate	Age
Occupation:				Children:			
Type of Business: How Long:		-					
_	a) (.1 1						
		me Tax Act (Canada), Moneystrat is r	equired to col	lect information to	determine wheth	ner individuals ope	ning a non-
-		esidents or U.S. citizens.					
	_	ax purposes or a U.S. citizen?					
	」No			Yes	No		
	de your U.S. ta	axpayer identification number (TIN):					
J.S. TIN:				U.S. TIN:			
		f a reporting issuer or any other issue					
-	r an over-the-	counter market) (an "Issuer"); or (ii) a	n officer or di	rector of a compar	ny which is itself	an insider or a sub	sidiary of su
ssuer?	7						
	」No			∐ Yes	∐ No		
f yes, please list the	e Issuer(s):			If yes, please list	the Issuer(s):		
re you designated	as a Pro (lice	nsed to sell securities)?					
Yes	No			☐ Yes	☐ No		
Do you: (i) beneficia	ally own; or (ii)	have control or direction over; or (iii)	have a comb	ination of beneficia	al ownership of, a	and control or direc	ction over,
		an Issuer carrying more than 10% of					
Yes	No			Yes	No		
f yes, please list the	e Issuer(s).			If was misses link	the leaves/al.		
i you, picase nat til	C 1334C1 (3).			If yes, please list	the issuer(s):		
	` ,	or control an Issuer?		ii yes, piease iist	the issuer(s):		
Do you or as part of	f a group, hold	l or control an Issuer?				_	
Do you or as part of	f a group, hold	or control an Issuer?		Yes	☐ No		
Do you or as part of Yes f yes, please list the	f a group, hold No e Issuer(s):			☐ Yes If yes, please list	☐ No		
Do you or as part of Yes f yes, please list the	f a group, hold No e Issuer(s):	ıll applicable):		☐ Yes If yes, please list INCOME:	☐ No the Issuer(s):	GROSS ANNUAL	MONTHLY N
Oo you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta	f a group, hold No e Issuer(s): ALS (check a x dollars	all applicable): Current monthly income		☐ Yes If yes, please list	☐ No the Issuer(s):	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h	f a group, hold No e Issuer(s): LS (check a x dollars nome	all applicable): Current monthly income Future monthly income		☐ Yes If yes, please list INCOME:	☐ No the Issuer(s): Work Interest	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the INANCIAL GOA Save income ta Own your own h Pay off mortgag	f a group, hold No le Issuer(s): LS (check a x dollars nome le	all applicable): Current monthly income Future monthly income Keep ahead of inflation		☐ Yes If yes, please list INCOME:	☐ No the Issuer(s): Work Interest Rental	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the INANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin	f a group, hold No le Issuer(s): LS (check a x dollars home le	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection	n	☐ Yes If yes, please list INCOME:	☐ No the Issuer(s): Work Interest Rental Pension	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the NANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university	n	☐ Yes If yes, please list INCOME: Self:	No the Issuer(s): Work Interest Rental Pension Other	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the NANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busing	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection	n	☐ Yes If yes, please list INCOME: Self: Tax %:	No the Issuer(s): Work Interest Rental Pension Other	GROSS ANNUAL	MONTHLY N
o you or as part of Yes f yes, please list the INANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university	n	☐ Yes If yes, please list INCOME: Self:	No the Issuer(s): Work Interest Rental Pension Other Work	GROSS ANNUAL	MONTHLY N
over you or as part of yes, please list the FINANCIAL GOA Save income ta Own your own he pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals:	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %:	No the Issuer(s): Work Interest Rental Pension Other Work Interest	GROSS ANNUAL	
o you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals:	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs ess	All applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental		
o you or as part of yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals:	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %:	No the Issuer(s): Work Interest Rental Pension Other Work Interest		
oo you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals:	f a group, hold No le Issuer(s): LS (check a x dollars nome le ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
o you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
ovoyou or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: Comments: Will	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
ov you or as part of Yes If yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
or you or as part of Yes Yes f yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: Comments: Will Lawyer:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Incommitted Incommit	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
Oo you or as part of Yes f yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs ess	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
or you or as part of Yes Yes I yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will Awyer: Accountant: Fax:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify)	n	Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other		
or you or as part of Yes fyes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer: Accountant: Fax:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS:	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other work Interest Rental Pension Other		
o you or as part of Yes Yes, please list the Yes, p	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS:	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other come:	a leveraging discl	osure
o you or as part of Yes if yes, please list the FINANCIAL GOA Save income ta Own your own h Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer: Accountant: Fax: WILL ANY OTHE	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At ER PERSON norization for t	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account?	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING The client has be	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other come:	a leveraging discl	
o you or as part of Yes if yes, please list the Yes if yes, please list the Yes, please list the Yes, please list the Yes, please list the Yes if yes if yes, please list	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At CR PERSON norization for t	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING The client has be	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
or you or as part of Yes Yes Yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer: Accountant: Fax: WILL ANY OTHE A) have trading auth Yes	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At CR PERSON norization for t	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING: The client has be document in com	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
or you or as part of Yes Yes Yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer: Accountant: Fax: WILL ANY OTHE A) have trading auth Yes	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At RPERSON norization for t No le Rerest in this ac	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING: The client has be document in com CLIENT IDENT	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
Over you or as part of yes, please list the FINANCIAL GOA Save income ta Own your own he pay off mortgag Increased savin Retire early Start own busin. Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will Awyer: Accountant: Fax: WILL ANY OTHE A) have trading auth yes	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At R PERSON norization for t No le Rest in this ad	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	n	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING: The client has be document in com CLIENT IDENT Client 1:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
or you or as part of Yes Yes Yes, please list the FINANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will Awyer: Accountant: Fax: WILL ANY OTHE ANY OTHE ANY OTHE OTHER ANY OTHE OTHER ANY OTHER OTHER ANY OTHER	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At RPERSON norization for to No RMATION:	All applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)		Tax %: Spouse/Partner: Tax %: Spouse/Partner: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING: The client has be document in com CLIENT IDENT Client 1: Client 2:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
or you or as part of Yes Yes, please list the Yes Yes Yes, please list the Yes,	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At RPERSON norization for to No RMATION:	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	Account #:	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING The client has be document in com CLIENT IDENT Client 1: Client 2:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
or you or as part of Yes Yes, please list the Yes,	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At RPERSON norization for to the second	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)		☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING The client has be document in com CLIENT IDENT Client 1: Client 2:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	osure
yes please list the yes, please list the yes, please list the INANCIAL GOA Save income ta Own your own he Pay off mortgag Increased savin Retire early Start own busin Other: 1 year goals: 5 year goals: 10 year goals: Comments: Will awyer: ccountant: ax: WILL ANY OTHE have trading auth Yes ANKING INFOR Bank Name:	f a group, hold No le Issuer(s): LS (check a x dollars nome ge ggs less Power of At RPERSON norization for to the second	all applicable): Current monthly income Future monthly income Keep ahead of inflation Have more insurance protection Send children to university Other (please specify) torney Date: OR PERSONS: his account? (If Yes, provide particulars)	Account #:	☐ Yes If yes, please list INCOME: Self: Tax %: Spouse/Partner: Tax %: Total Net Income Total Expenses: Uncommitted Inc NET WORTH: LEVERAGING The client has be document in com CLIENT IDENT Client 1: Client 2:	No the Issuer(s): Work Interest Rental Pension Other Work Interest Rental Pension Other one:	a leveraging discl	

INCLIDANCE COVEDACE.

INSURANCE COVERAGE:				
INSURANCE COMPANY	Type	Coverage	Premium	Cash Surrender Value
		\$	\$	\$
GROUP				
DISABILITY				
MORTGAGE				

ASSETS				EXPENSES	MONTHLY	YEARLY
	Amount	Rate	Due			
Chequing				Rent or Mortgage		
Savings				Taxes		
Trust Company				Insurance		
Credit Union				Hydro		
Other				Heat		
TOTAL CASH ASSETS				Water		
				Telephone		
Canada Savings Bonds				Cable TV		
GICs				Maintenance		
Term Deposits				Other		
Other				TOTAL HOUSING		
Insurance Cash Value						
TOTAL LIQUID ASSETS			_	Car Payment		
				Car Oil and Gas		
Notes Receivable				Car Maintenance		
Mortgage Receivable				Car Insurance		
Stocks/Bonds				Car Club		
Real Estate	-		_	Car Licence		
Tax Shelters			_	Other		
RRSP/RRIF				TOTAL TRANSPORTATION		
Other	-			TOTAL TRANSPORTATION		
				Food		
TOTAL INVESTMENT ASSETS				Food		
				Milk and Bread		
Home				Tobacco/Liquor		
Cottage				Other		
Furnishings				TOTAL FOOD		
Vehicles						
Boat/Motor/Trailer				Clothing		
Personal	-			Footwear		
Other				Laundry/Cleaners		
TOTAL PERSONAL ASSETS				Other		
				TOTAL CLOTHING		
TOTAL ASSETS						
				Life/Disability Insurance		
LIABILITIES				Debt		
				Investments		
Visa				Dental/Medical		
MasterCard				Child Care		
Bank				Charity/Church		
Other				Other		
TOTAL CONSUMER CREDIT				TOTAL OTHER		
				BASIC EXPENSES		
Insurance Loans						
Instalment Loans				TOTAL BASIC		
Personal Loans				LIFESTYLE		
Accrued Income Tax						
Other				Entertainment		
TOTAL SHORT TERM				Vacations		
				Clubs and Lodges		
Mortgage: Home				Gifts		
Mortgage: Other				Home		
Loan: Personal Assets				Other		
Loan: Investments				TOTAL DISCRETIONARY		
TOTAL LONG TERM				LIFESTYLE		
· - · · · · · · · ·						
TOTAL LIABILITIES				TOTAL EXPENSES		
				NOTES:		
NET WORTH:						